

## **CASE STUDY #6: IC Directors And Mentorship**

*Dr. Werner is Director of the NIH NHLBI institute has an extramural laboratory at the NCI. One of the postdocs, Dr. Chen in his NCI laboratory submits a K99/R00 to NHLBI. As the Director of the Institute he will have final sign off on all K99/R00, will participate in discussions on the program and will make final decisions on how many grants NHLBI should fund and how much money should be dedicated to the program.*

*How should the application from his postdoc be handled?*

As IC director, Dr. Werner is recused with anything to do with Dr. Chen's application. Because the applications are not coming in in response to an RFA, Dr. Werner may have oversight and final sign off on other applications competing with Dr. Chen, but Dr. Werner may not engage in any discussions of Chen's application, nor appear on any paperwork associated with this application.

*Who approves documentation for Dr. Chen?*

All documentation associated with Dr. Chen should be sent to the DDER for signature or otherwise appropriately handled within the institute.

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